



ACCOUNT CONTROLLER IDENTIFICATION REQUEST**

Please complete the Controller information below. It is imperative that you provide this information to Marex NA promptly to ensure that Marex NA and its clients remain in compliance with CFTC regulations.

List **each person who controls** the trading of the account. This may be a natural person who exercises discretion or an employee who acts within his/her capacity as an employee of the legal entity which trades the account. There may be more than one Controller. **If more than one Controller, copy and attach additional sheets for each additional Controller. Please indicate which Controller is the primary trader by designating a "P" next to their name. All requested information is mandatory, if applicable.**

As of January 2022, Marex North America requests a copy of the current government issued photo ID for any account controller(s) and/or authorized trader(s). Controller's Date of Birth is mandatory.

Account Controller:

Individual's Name: _____

Controller's Full Address: _____
(Address from where the trading is taking place)

Controller's Full Date of Birth: _____

Direct Phone Number: _____ Email Address: _____

Job Title/Position: _____ Employer Name: _____

Relationship to Account Owner: _____

Employer NFA ID # (if any): _____

Controller/Individual NFA ID # (if any): _____

Employer LEI Code (Legal Entity Identifier (if any): _____

**** Each account must identify a natural person account controller. If you have completed the Limited Power of Attorney Form separately, you do not need to complete this page.**